

S/N 09/689,136

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**

Applicant: John F. Engelhardt et al.

Examiner: Scott Priebe, Ph.D.

Serial No.: 09/689,136

Group Art Unit: 1632

Filed: October 12, 2000

Docket: 875.032US1

Title: COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

**PRELIMINARY AMENDMENT AND  
RESPONSE TO RESTRICTION REQUIREMENT**

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Commissioner for Patents  
Washington, D.C. 20231

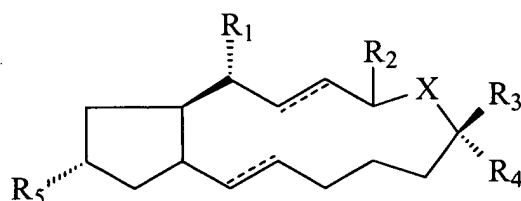
In response to the Restriction Requirement mailed October 11, 2001, please amend the above-identified application as follows:

**In the Claims**

Please substitute the claim set in the appendix entitled "Clean Version of Pending Claims" for the previously pending claim set. Specific amendments are detailed below.

Please amend claim 63 as follows:

63. (Amended) The method of claim [44] 47 wherein the compound is a compound of formula [(IV)] (III):



[(IV)] (III)

**Remarks**

Claim 63 is amended. The pending claims are claims 1-84.

The Examiner is respectfully requested to consider that Applicant's invention is generally directed to methods to identify agents that alter adeno-associated virus (AAV) transduction of mammalian cells and methods of using those agents to alter AAV transduction of mammalian cells or expression of a transgene delivered by a recombinant AAV to mammalian cells. Three